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PTO/SB/21 (6-98)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/056,571
Filing Date	1/25/2002
First Named Inventor	Charles J. Kramer
Group Art Unit	
Examiner Name	
Total Number of Pages in This Submission	74
Attorney Docket Number	CJK-10E

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Certificate of Express Mailing
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Transmittal Form
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	Transmittal of Formal Drawings
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	Copy of Notice to File Corrected Application Papers
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	In response to a NOTICE TO FILE CORRECTED APPLICATION PAPERS, dated 2/22/2002, for the above-referenced application, enclosed are seventy-two (72) sheets of substitute drawings in compliance with 37 CFR 1.84. If any fees are due, please deduct from Deposit Account 50-1706.	Return Postcard

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Howard J. Greenwald; Greenwald & Basch LLP
Signature	
Date	3/15/02

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 

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NOT FOR FILING



03-18-02

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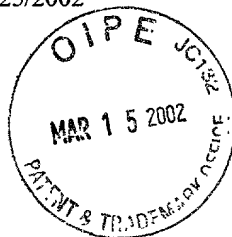
0300

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,571	01/25/2002	Charles J. Kramer	CJK-10E

27157  
GREENWALD & BASCH, LLP  
SUITE 2490  
349 WEST COMMERCIAL STREET  
EAST ROCHESTER, NY 14445



CONFIRMATION NO. 5437

FORMALITIES LETTER



\*OC000000007522851\*

Date Mailed: 02/22/2002

**NOTICE TO FILE CORRECTED APPLICATION PAPERS**

***Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Practitioner's Docket No. CJK-10E

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Kramer, Charles J.**

Application No.: 10/056,571

Group No.:

Filed: 01/25/2002

Examiner:

For: "Diffraction Grating-Based Wavelength Selection Unit Having Improved Polarization Dependent Performance"

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

In response to the NOTICE TO FILE CORRECTED APPLICATION PAPERS, mailed on February 22, 2002, attached please find:

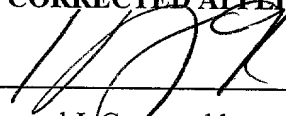
- (a) the formal drawing(s) for this application.  
Number of Sheets: 70

Each sheet of drawing indicates the identifying indicia suggested in Section 1.84(c) on the reverse side of the drawing.

- (b) a copy of the NOTICE TO FILE CORRECTED APPLICATION PAPERS.

Date:

3/15/02

  
Howard J. Greenwald  
Registration No. 24,247  
Greenwald & Basch LLP  
Suite 2490  
349 West Commercial Street  
East Rochester, NY 14445  
US  
585-387-0280  
Customer No. 27157

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Date:

3/15/02

(Transmittal of Formal Drawings --page 1 of 1)